Introduction to the module

This module is part of a series of training modules developed by the National Center on Intensive Intervention (NCII) aimed at district or school teams involved in initial planning for using data-based individualization (DBI) as a framework for providing intensive intervention in academics and behavior. This module is intended to follow the first module, “Introduction to Data-Based Individualization (DBI): Considerations for Implementation in Academics and Behavior.” The audience for this module may include school teams supporting behavioral intervention and progress monitoring, behavior specialists/interventionists, special educators, school psychologists, counselors, and administrators, as appropriate. It is assumed the audience already has some knowledge of progress monitoring. A separate module addresses Academic Progress Monitoring and can be found on NCII’s website, www.intensiveintervention.org. Subsequent modules will provide additional information about other components of the DBI process. More information about NCII’s approach to intensive intervention can be found in Data-Based Individualization: A Framework for Intensive Intervention (National Center on Intensive Intervention, 2013a).

Instructions for using the speaker notes

- Text formatted in standard font is a sample script for the facilitator.
- Text formatted in **bold** is excerpted directly from the presentation slides.
- Text formatted in *italics* is intended as directions or notes for the facilitator; italicized text is not meant to be read aloud.
- Text formatted in **underline** indicates an appropriate time to click to bring up the next stage of animation in an animated slide.

*While permission to reprint this publication is not necessary, the citation should be: National Center on Intensive Intervention (2013). Monitoring Student Progress for Behavioral Interventions. Washington, DC: U.S. Department of Education, Office of Special Education Programs, National Center on Intensive Intervention.*

**Note on Direct Behavior Rating:**

This module describes the use of Direct Behavior Rating (DBR) with standard behaviors (Chafouleas, Riley-Tillman, Christ, & Sugai, 2009) and fill-in behaviors (Chafouleas, Riley-Tillman, & Christ, 2010). The authors granted permission to use these forms for educational purposes only. Additional forms and more information on DBR are available on the Direct Behavior Ratings website (www.directbehaviorratings.org). Copyright © 2010 University of Connecticut.
Welcome participants to the training. Introduce yourself (or selves) as the facilitator(s) and briefly cite your professional experience in regards to progress monitoring and intensive behavioral intervention.

The purpose of this session is to provide you with the skills to determine whether a selected behavioral intervention is working and to increase your ability to make data-based decisions on student behavior.

It is recommended that you allow participants to take a break approximately midway through the presentation. Consider taking a break between two sections, such as before you begin the section on developing a measurement system or the section on evaluating data.

Recommended presentation resources (available for download from this module’s page on the NCII website (http://www.intensiveintervention.org)):

- Handouts 1–6
- Case Samples 1 and 2
- DBR Graphing Template
- V 1.3 DBR Standard Form – Fill-in Behaviors
- V 1.4 DBR Standard Form with 3 Standard Behaviors

Recommended preparation for participants:

- Request that school teams come prepared to discuss a student with intensive behavioral needs, bringing data if available. This student will be used to practice the Case Applications (slides 14, 31, 35, 43, 69, and 99).
- Participants may need a pen or pencil for some activities (see Handouts and Case Applications).
Learning Objectives:
By the end of today, participants will be able to:

- Select and define meaningful target behaviors for progress monitoring.
- Understand the advantages and disadvantages of using Systematic Direct Observation versus Direct Behavior Rating.
- Plan and carry out data collection to monitor a target behavior; individualize Direct Behavior Rating forms.
- Use graphed progress monitoring data to determine when intervention changes are needed.

Read slide.
In the past you may have used progress monitoring data to make group intervention decisions, but today we’re focusing how progress monitoring is used to inform DBI. The same progress monitoring data that tells us a student is not responding to school- or class-wide behavior supports may also tell us that secondary intervention is not sufficient to help the student reach his or her goal. Once the intervention has been adapted, we continue progress monitoring to determine if the changes have been sufficient or if we need to make additional changes.

A more complete overview of the DBI process is available in NCII’s module, Introduction to Data-Based Individualization (DBI): Considerations for Implementation in Academics and Behavior (National Center on Intensive Intervention, 2013b).
Most of you are probably familiar with triangle intervention logic or multi-tiered systems of supports. Although the focus of today’s presentation is not on intervention, the triangle provides a basis for understanding the uses of behavioral progress monitoring in relation to levels of support. Let’s begin at the bottom with Tier 1, or the green zone. This model suggests that most kids—about 80 percent—will respond positively to strong, systematic prevention techniques that promote prosocial behavior. This implies that about 20 percent of students will require further support to maintain appropriate behavior in schools. The typical process is to move these non-responding students to an intervention that will provide additional feedback on student behavior and opportunities to access rewards and positive attention. It is expected that these secondary or Tier 2 interventions will meet the needs of an additional 15 percent of the student population. That leaves 5 percent of students who are not responsive to preventative or secondary intervention supports. What do we do for these students with the most intensive needs? It is imperative that we follow a systematic process to understand the reasons for their behavior, develop individualized interventions, and track their responsiveness to those supports in order to make data-based decisions.
The level of support is directly tied to the intensity of assessment. At the first level of the triangle, screening tools are used to determine whether or not a student is responding to preventative supports. These assessment procedures should be readily available for the entire student body and collected and reviewed systematically to identify those students who are non-responsive. Examples include office discipline referrals or standardized screening instruments. The second type of assessment technique is progress monitoring, which is the repeated collection of data targeting specific behaviors. This type of assessment is typically used to determine a student’s response to secondary and intensive intervention. Progress monitoring may need to be done more frequently for more intensive interventions. For example, it may occur weekly for Tier 2 and daily for Tier 3. Although the purpose and process of progress monitoring is similar for Tier 2 and Tier 3, there are some important differences related to the intensity of intervention. These will be discussed in later sections.
Now that we’ve placed behavioral progress monitoring into context, let’s formally define the process. Behavioral progress monitoring is more than simply collecting assessment data—we must also analyze the data to determine whether an intervention is effective for a given student. The data may also inform intervention design, a topic that will be addressed in future modules.
Ultimately, the products generated through progress monitoring will support evaluation of intervention effectiveness, increase the transparency of and provide justification for programming decisions, and allow for information to be readily disseminated among key stakeholders. Data-based individualization for behavior relies on the development of progress monitoring tools to support decision making.
As we learn about behavioral progress monitoring, we will illustrate the process by using a fictitious student, Jeff, as a case example. Let’s meet Jeff and learn how the team decides he is a candidate for DBI.
Case Example: Jeff

Jeff is a 12-year-old student who is consistently demonstrating disruptive behaviors in class such as calling out, talking back, and interrupting peers. These behaviors prompted his enrollment in the school’s Tier 2 intervention supports. Despite these extra supports, Jeff’s disruptive behaviors seemed to increase in frequency and intensity, leading to no significant reduction in the number of Office Discipline Referrals (ODRs). Jeff’s teacher, Mrs. Coleman, referred him to the school team.
The data-based individualization process assumes that the student has been systematically identified as not responding to Tier 1 or Tier 2 intervention. Systematic identification refers to the use of a preplanned screening process that has clear, well-articulated decision points for making referrals. Jeff’s school uses Office Discipline Referrals as an initial screen for identifying students as eligible for intervention. The school leadership team has determined that students with two or more ODRs per month for two consecutive months qualify for the school-wide Tier 2 intervention. This decision rule assumes that teachers are applying school and classroom behavioral expectations, and making office referrals, consistently. Mrs. Coleman is experienced in classroom management and very familiar with school procedures. She rarely refers students to the office, so when she does, the team is confident that the student is exhibiting behavior that cannot be handled in the classroom. As you can see in this graph, she has referred Jeff three to six times per month for the past three months. Clearly, Jeff is eligible for additional supports!
Jeff’s school determines whether a student is eligible for data-based individualization based on a student’s response to Tier 2 intervention. The team considers two sources of data: office discipline referrals (ODRs) and a classroom behavior point system, which we will cover on the next slide. For ODRs, the team considers students non-responsive if they continue to have two or more ODRs per month following the implementation of the intervention. The graph shows that Jeff had three ODRs each month since beginning Tier 2 supports.
For students in Tier 2, teachers collect additional data on classroom behavior by monitoring the number of points a student earns for following classroom rules. As different classrooms may have a different number of possible points, teachers report the percentage of possible points earned. The school defines non-responsiveness to Tier 2 as earning fewer than 65 percent of points for 8 of 10 days. The graph shows Jeff’s data across 13 school days while he received the Tier 2 intervention. He earned only 65 percent of the total possible points (the red goal line) on 2 of those 13 days, well below the goal of 80 percent of days. Taken together with his ODRs, he can be classified as a good candidate for DBI.

Please note, this is just an example and these decision rules may not be consistent with the needs of your school. The point is that it is helpful to articulate what responsiveness and non-responsiveness mean. Moreover, these decision rules should not be viewed as static, but might have to be adjusted over time.
Provide or reference Handout 1: Student Qualification Sheet.

Now that we’ve examined why Jeff’s school thinks he needs DBI, let’s take a moment to discuss the identification methods and process present in your school.

First, talk as a school team to identify a student in your school who you think may be a potential candidate for data-based individualization.

Next, use the Student Qualification Sheet (Handout 1) to guide you through thinking systematically about the assessment and intervention process that has been used with this student. What information do you currently collect? Are there decision rules? What makes you think this student might need DBI?

Keep this student in mind as we talk more about progress monitoring for intensive behavior intervention.

*If time allows, have smaller groups share their thoughts with the whole group.*
Before we begin discussing how to select or develop a progress monitoring tool, we must first decide which behaviors we should track for a given student.
Returning to Jeff, we have two data sources that suggest he is not responding to the school’s Tier 1 and Tier 2 programs. The team decides further analysis of the problem is warranted. Up to this point, the teacher broadly defined Jeff’s behaviors as disruptive and inattentive. While there is good evidence to suggest he is not responding, the team now wants to individualize data collection to his needs. How do we go about doing this?
As discussed previously, behavioral progress monitoring is a three-part system of planning, data collection, and evaluation. Just as we advocate for having a reasoned purpose and approach for developing individualized intervention, it is critical that we implement a formal plan for data collection and evaluation. The preplanning stages of the process will allow you to chart a course to determine whether the goals for the student are being met.
We need to select one or more target behaviors to monitor before we can decide on the how, who, and when of data collection. This process will consist of three specific steps.

**Review steps**

Identifying and prioritizing target behaviors helps us ensure that we are monitoring a meaningful behavior. A clear definition allows us to collect more reliable data. It is important to remember that this process is going to be unique for each student. That’s what makes data-based individualization both effective and challenging.
First, we must select a behavior or set of behaviors to focus on. This requires the collection of some background information on the context and features of the behavior. The context of the behavior tells about the conditions under which the behavior occurs (e.g., the setting and events that tend to occur before or after the behavior). This will help us understand why the behavior occurs. The features of a behavior include various aspects of a behavior’s presentation, such as how long it lasts or how frequently it occurs, what it looks like, how intense it is, etc. These features will help us create a behavior definition that allows for objective, reliable measurement.
Determining what the behavior looks like, why it is happening, and when it is occurring can be supported through the collection and consolidation of key information. Some of this information is readily available in schools, and other information will have to be gathered from staff who work with the student or from direct observation of the student.
A. Questionnaires and Interviews (Handout 2)

- Can you describe the behavior that led to your referral? Be as specific as possible.
- What are some specific features of the behavior?
- How long does the behavior typically last?
- How often does the behavior occur?
- Does the behavior occur consistently at a particular time?
- How do you typically respond to the behavior?

Provide or reference Handout 2: Target Behavior Questionnaire

Questionnaires and interviews are typically completed by school personnel who commonly come in contact with the student. These tools can provide important information on the features of various behaviors of concern. The types of questions used in these instruments tend to focus on issues of what the behavior looks like and when it is occurring. Remember, the purpose here is to select a behavior for targeting rather than describing why it is happening. As such, the focus here is on being as descriptive and objective as possible. This part of the planning process is a critical part of developing an individualized tracking system. Possible questions include:

Read list.

Handout 2: Target Behavior Questionnaire can help a referring teacher better describe the behavior(s) of concern.
Checklists, another method often used to identify and describe behaviors, guide the respondent to consider the features and contexts of the behavior. Checklists often contain a range of broad factors of problem behavior such as compliance or academic engagement. These factors are then broken down into specific observable behaviors that the person completing the form can simply check off as having occurred. The information can be formally summarized and may provide a more objective foundation for identification. Checklists can be used in conjunction with information from questionnaires or interviews to gain a comprehensive picture of the behavior.

Examples of checklists include established rating scales such as the Systematic Screening for Behavior Disorders or the Behavior Assessment System for Children. When an area or scale suggests a potential concern, items related to that scale may be potential target behaviors. For example, if a checklist suggests a child is hyperactive, the items that fall under hyperactivity might be considered as target behaviors to track for that child.
C. Anecdotal (ABC) Reports (Handouts 3 and 4)

- Used to describe the events leading up to and following a behavior.
  - A = antecedent
  - B = behavior
  - C = consequence
- Both narrative recording and checklist formats are available.
- Requires use of objective language and focuses on actual events, not interpretations.

Provide or reference Handout 3: ABC Checklist and Handout 4: Anecdotal (ABC) Recording Form

Anecdotal reports are common methods for recording the occurrence and context of behavioral problems. Whereas questionnaires and checklists require retrospective consideration of the behavior and surrounding events, the anecdotal report is usually completed soon after the behavior occurs. These reports typically prompt the teacher to briefly describe the events leading up to the behavior, the behavior itself, and the events that follow the behavior. This information is then used to help determine the conditions and contexts under which the behavior is typically occurring. A sample ABC checklist is found in Handout 3. Handout 4 provides a form for recording when behaviors of concern occur, along with a place to note the antecedents and consequences for each occurrence.
The fourth strategy for identifying target behaviors is to have a colleague, such as a school psychologist, conduct direct observations. Not only can direct observation be helpful for defining the behavior, but it also can provide important information such as how often or how long the behavior might last. As we will see later, this information can be used in the development of methods to collect student behavior data on a daily basis. For the present purposes, however, direct observation is presented as a method for determining what the behavior looks like, how often it occurs, and the conditions under which it occurs. The specific methods for the direct observation will vary by student.

Notes on sample direct observation forms: The left image of the Behavior Frequency Record and Graph was obtained from http://interventioncentral.mysdhc.org/measures/FreqRecord-Graph.pdf. The central image of the daily on task behavior chart was obtained from http://img.docstoccdn.com/thumb/orig/119574386.png. The right image of a peer comparison direct observation form was obtained from http://01.edu-cdn.com/files/static/wiley/9780470505168/REPRODUCIBLE_5_PEER_COMPARISON_DIRECT_OBSERVATION_FORM_01.GIF
It is important to note that although we have been discussing various examples of tools and instruments that school personnel might consider using, not all of these methods need to be used for each student. The school team and classroom teacher collect background information to specify key behaviors that might become the focus of the individualized intervention. When multiple methods are used, the results can be integrated to identify particular behaviors consistently cited as being problematic. The goal is to identify patterns across the tools to determine the features and contexts of the behavior. This information will subsequently will be used when developing an approach for collecting progress monitoring data.
Case Example: Jeff

- After two months of the Tier 2 program, it was clear to Mrs. Coleman and the school leadership team that Jeff was not responding. Specifically, his ODRs and point sheet totals did not reflect improvement.
- The school team and Mrs. Coleman worked collaboratively to gather more information about the specific features and context of the behavior. Mrs. Coleman did the following:
  - Filled out a questionnaire on Jeff’s behavior (Case Sample 1).
  - Completed ABC checklists (Case Sample 2).
  - Had a colleague observe Jeff’s behavior five times over a two-week period.

Provide or reference Case Sample 1: Jeff’s Target Behavior Questionnaire, Case Sample 2: Jeff’s Target Behavior Checklist, and Case Sample 3: Jeff’s ABC Checklists

Read slide.
See Case Sample 1: Jeff’s Target Behavior Questionnaire.

Review key information provided by Jeff’s teacher.
Through the Target Behavior Questionnaire and team discussion, Mrs. Coleman identified the following potential target behaviors for Jeff:

- Out of seat
- Curses
- Talks out
- Threatens
- Fights
- Argues
- Hits, kicks

Read list

The team decided to collect observational data to learn more about these behaviors.
See Case Sample 2: Jeff’s ABC Checklists
The school psychologist agreed to observe Jeff to assist Mrs. Coleman and the school leadership team to better understand the nature of Jeff’s behavior. She observed a total of five times across two weeks for 20 minutes each. The focus of the observations was on the behaviors constituting Jeff’s disruptive behavior.

<table>
<thead>
<tr>
<th>Behaviors</th>
<th>Frequency (all observations)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Out of seat</td>
<td>3 times</td>
</tr>
<tr>
<td>Curses</td>
<td>7 times</td>
</tr>
<tr>
<td>Threatens</td>
<td>11 times</td>
</tr>
<tr>
<td>Fights</td>
<td>0 times</td>
</tr>
<tr>
<td>Argues</td>
<td>5 times</td>
</tr>
<tr>
<td>Hits / kicks</td>
<td>1 time</td>
</tr>
<tr>
<td>Talks out</td>
<td>5 times</td>
</tr>
</tbody>
</table>
Reference Handout 2 (Target Behavior Questionnaire already introduced through Case Sample 1).

Review the slide. Give teams a few minutes to talk through the case application. If time allows, share as a large group.
As we gather data for Step 1, we may sometimes clearly identify a single behavior for monitoring. More often, however, several behaviors will warrant further analysis. We want to prioritize only a few behaviors in order to make data collection and analysis more feasible. By individualizing based on fewer behaviors, we can make decisions more quickly and probably with more confidence, as we have selected the behaviors that are most meaningful for a given student.
Prioritization

Prioritization of behaviors requires assessing the overall importance of the behavior for school success.

- Does the behavior present danger to the student or others?
- How often does the behavior occur?
- Does the behavior interfere with learning?
- Will changing the behavior allow the student to obtain more positive attention?

Prioritization requires evaluating the importance of each behavior to successful school functioning. For instance, you might consider if the behavior is potentially harmful to the student, teacher, or peers, or if the behavior occurs at a higher frequency than other identified behaviors. Those behaviors that are deemed most important are then defined using observable language to support subsequent measurement.
The team reviewed the questions on the previous slide to help select target behaviors for Jeff. Direct observation data told the team which behaviors occurred most often: threatens (observed 11 times), curses (7 times), argues (5 times), and talks out (5 times). While hits / kicks only occurred once during the five observations, it is the most dangerous of all the behaviors Mrs. Coleman identified. It also strongly interfered with learning as Jeff had to be removed from the classroom when this occurred. Threatens also was particularly interfering as it disrupted instruction for the whole class.

Based on these considerations, the team identified two behaviors to monitor for Jeff: threatens and hits / kicks.
Case Application

- List the potential target behaviors for the student from your school.
- Identify one or two behaviors to prioritize for progress monitoring based on the questions from slide 33.
- Did you think some questions or considerations were most relevant in selecting a target behavior for this student?

Review slide. Give teams time to list and prioritize behaviors. Share as a large group, if time allows.
Now that we have identified two behaviors that are of the greatest concern for Jeff, it is time to develop a clear definition of each. Good operational definitions of target behaviors provide an accurate, complete, and concise description of the behavior to be measured. This includes ensuring that the definition allows for objective measurement. We accomplish this by using clear, concise language and constructing the definition in such a way that it can be readily applied by others. If two people have read the definition and observe the student at the same time, they should be able to agree when the behavior has, or has not, occurred. In order to accomplish this, we often need to clearly state which behaviors are included in the definition and which are not.
Behavior definitions must use clear, objective language to identify the behaviors being examined. This is best achieved by avoiding ambiguous terms that might represent several different behaviors or be labeled differently by various people. The list of terms on the left are ambiguous because they don’t specify the actual behaviors being focused on. The list on the right includes terms that can be readily seen and have wide agreement on when they occur. Note also that the unambiguous terms tend to be verbs rather than adjectives or characteristics.
Unambiguous language also helps with the second condition of a strong target behavior definition—that it allows for ready measurement of the behavior. The description of the behaviors, therefore, should indicate the specific behaviors to be targeted, and how they will be measured.
The last step in defining a behavior is to delineate the boundaries of what the behavior includes and does not include. It often helps to provide examples of the target behavior as well as non-examples—behaviors that may be similar but do not count as an occurrence of the target behavior.
Here are some examples of how vague behaviors can be better defined. What features make the last column of definitions the best?

*Review and discuss as whole group or in teams.*

<table>
<thead>
<tr>
<th>Bad</th>
<th>Better</th>
<th>Best</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rick loses control.</td>
<td>Rick cries and tantrums.</td>
<td>Rick cries, flops to the floor, kicks feet, pounds fists on floor, and/or grabs at objects.</td>
</tr>
<tr>
<td>Tara is disruptive.</td>
<td>Tara makes inappropriate comments during class.</td>
<td>Tara curses at teacher or peers, talks excessively about unrelated tasks/work, or insults peers during class.</td>
</tr>
<tr>
<td>Robin has been acting withdrawn.</td>
<td>Robin is not engaging with peers.</td>
<td>Robin sits quietly by herself at her desk and does not speak with other students, even those who approach her to engage.</td>
</tr>
</tbody>
</table>
Here are the definitions Jeff’s team developed for his two highest priority behaviors.

<table>
<thead>
<tr>
<th>Behavior</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hits / Kicks</td>
<td>Jeff will be considered to be hitting or kicking if his foot or hand makes contact with another student with the intent to cause harm. The physical contact must be initiated by Jeff and put forth with sufficient intensity to cause harm for the intended target. Hitting and kicking will not include instances in which Jeff accidentally touches a student with his hand or foot.</td>
</tr>
<tr>
<td>Threatens</td>
<td>Threats are verbal statements that refer to harming other people including peers or teachers. Threats will include statements such as “I will throttle you” or “I will knock you out,” but will not include statements such as “I said, leave me alone,” or other statements indicating an attempt to cope with the situation.</td>
</tr>
</tbody>
</table>
Practice Defining Target Behaviors (Handout 5)

Complete Handout 5: Target Behavior Definition Practice.
- Part I: Identify common behaviors of concern in your school.
  - Do you have common definitions for these behaviors? Are they observable and measureable?
  - Would different staff members agree on whether or not a given behavior has occurred?
- Part II: Write definitions for common target behaviors.
  - Alternatively, write stronger definitions for the common behaviors you identified in Part I. We will write definitions for your case student next.

Provide or reference Handout 5: Target Behavior Definition Practice.

As time allows, provide time for teams to work on part or all of the form and discuss as a large group. Note that teams also will be practicing writing definitions for their case application student, so you may decide to spend less time on writing definitions for this activity.
Review the slide. Give teams a few minutes to talk through the case application. If time allows, share as a large group.
Now that we know which behavior(s) we want to use for progress monitoring, we need to develop a plan to collect data on the target behavior(s).
The process of identifying, prioritizing, and defining the target behavior is designed to support the development of an individualized approach for data collection. We will review the various methods associated with actually collecting the data, but first it is worthwhile to discuss some considerations for developing an effective approach to measuring behavior. Recall that progress monitoring for students in need of individualized behavioral interventions requires data to be collected more often than for less intensive interventions. The teacher and school team must consider the most appropriate schedule. Is daily assessment needed? Or would it be more helpful to have data collected on a weekly basis? Other questions to consider relate to who will collect the data and at what times. Finally, it is necessary to design the procedures for ensuring the data is entered for evaluation. Most of these steps will be clear following the identification of the target behaviors and conditions of greatest concern.
Many approaches to data collection are available, and each has its own strengths and limitations. The traditional method for collecting student data is through systematic direct observation techniques. Although we will briefly describe the methods associated with this approach, our emphasis here will be on using an emerging technology called “Direct Behavior Rating,” which increases the feasibility of data collection without sacrificing the quality of the data. Specifically, we will consider how to incorporate the target behaviors into the DBR form and use it in conjunction with standard behaviors included on the standard form.
Before describing the “Direct Behavior Rating” or “DBR” approach to collecting data, it is useful to consider systematic direct observation techniques because these are widely considered the gold standard approach for collecting behavioral data. As we will see, there remain some limitations for practical application in schools. This introduction to direct observation techniques, however, will provide the basis for developing measurable anchors to assist with increasing the accuracy of the DBR.

<table>
<thead>
<tr>
<th>Systematic Direct Observation</th>
</tr>
</thead>
<tbody>
<tr>
<td>▪ The process of watching a person or environment for a period of time and systematically recording behavior.</td>
</tr>
<tr>
<td>▪ Examples of observation:</td>
</tr>
<tr>
<td>• Total number of times a student raises hand</td>
</tr>
<tr>
<td>• Amount of time spent out of seat</td>
</tr>
<tr>
<td>• Percentage of appropriate peer interactions</td>
</tr>
</tbody>
</table>
Systematic direct observation offers several advantages. First, the data collected are closely aligned with the behavior being observed. Another advantage is its flexibility for collecting data on a wide range of observable behaviors. Virtually all behavior that can be seen can be the subject of direct observation techniques. Lastly, a wide range of procedures is available for collecting data. These methods can be readily adapted to allow behavior data to be collected along various dimensions and for a variety of purposes.
Systematic direct observation can measure behavior along several dimensions.

*Review list.*

We usually measure how often a behavior occurs or how long it lasts.
Look at the sample behaviors listed. Which dimension would be the most appropriate measure for each?

**Answers**

Kyle – frequency or rate

Sara – percentage of tasks completed, frequency (assignments submitted)

Brad – latency (from time of request to execution of task), percentage of requests

Bonnie – frequency or rate
Just as there are a number of ways to represent behavior, there are also several methods for actually observing behavior and collecting data. These methods can be divided into two broad classes: those that measure specific aspects of an event, such as how often an event occurs or how long the event lasts, and those based on recording what occurs within a particular time frame. We will not spend too much time reviewing these methods, but the common thread across all is the need to be vigilant on keeping notes and records. Unfortunately, this can often be cumbersome, time consuming, and overwhelming—even for the tools that are the easiest to complete.
While systematic direct observation can provide very strong and useful data, these methods are often time intensive and difficult to implement, particularly within the context of busy school classrooms. Because of the difficulty of having to attend to instruction, behavior management, and data collection (not to mention a whole host of other issues!), the primary advantage of systematic direct observation—that the method provides a direct index of behavior—might be lost. Moreover, if the method is not feasible and requires too much effort, it is not likely to be used. That would result in no progress monitoring data and no opportunity for data-based individualization.
Despite these limitations, there are some important lessons to be learned from direct observation techniques. The primary advantage of this method is that it provides a direct reflection of the behavior. We want our data to provide accurate information on the chosen dimension of our clearly defined target behavior. For example, if the behavior of concern is desk flips during math, we need to choose a data collection method that tracks how often the behavior occurs (frequency or rate). Work refusal might be considered in terms of the percentage of work requests the student refuses, or we might want to know how long the student spent refusing to do work (duration). The final consideration is feasibility. If a strong method is too difficult to sustain, it will not be useful for progress monitoring.
Fortunately, there is an alternative method to the often burdensome direct observation approach. Direct Behavior Rating (DBR) can be adapted to focus on a range of target behaviors while also providing an opportunity to measure broader, more general outcomes. The premise of DBR is that teachers can reliably and accurately rate student behavior on a continuum following some specified period of time. These ratings are then used to monitor student progress. There are several different DBR-like tools currently being developed. These include methods using multiple items to rate student performance and those using a single scale. However, these various tools are at different stages of development.
The method with, perhaps, the greatest research to date is the single-item scale (e.g., Chafouleas, Riley-Tillman, Christ, & Sugai, 2009; Chafouleas, Riley-Tillman, & Christ, 2010). This particular approach allows the user to rate the behavior on a single continuum from 0 to 10. These numbers are anchored by terms such as the behavior “Never” occurred (0 percent), “Sometimes” occurred (50 percent), or “Always” occurred (100 percent) during the observation period. As you can see, the completion of the form does not require constant recording or attention to paper work; rather, it allows the teacher to instruct and manage freely while also providing a research-based method for tracking student behavior.

For more information on DBR, including various forms and instructions for their use, please see the Direct Behavior Ratings website at www.directbehaviorratings.org (University of Connecticut, 2010).
Provide or reference Direct Behavior Rating (DBR) Form: 3 Standard Behaviors  (V 1.4 DBR Standard Form with 3 Standard Behaviors)

The DBR forms we will be using have three general behaviors already available for use: (a) academically engaged behavior, (b) non-disruptive behavior (looking for reduced occurrence of disruptive behavior), and (c) respectful behavior. The advantage of having these three behaviors on the standard form is that you will be able to track the target behavior you have identified for your student while also gaining a better understanding of broader behaviors that are needed for successful school functioning. We will consider the application of these examples and then discuss how to incorporate students’ individualized target behaviors into the instrument.
DBR-Academic Engagement

**Academic engagement**
- Active or passive participation in the classroom activity
- *Examples* include writing, raising hand, answering a question, talking about a lesson, listening to the teacher, reading silently, and looking at instructional material.

(Chafouleas, Riley-Tillman, Christ, & Sugai, 2009)

*Read slide.*
Animated slide. Click to bring up Interpretation.

**Academic Engagement Example**

*Academically Engaged*
Place a mark along the line that best reflects the percentage of total time the student was academically engaged during math today.

% of Total Time

0% 1 2 3 4 5 6 7 8 9 10

Never 50% Sometimes 100% Always

**Interpretation:** The teacher estimated that the student displayed *academically engaged* behavior during 60 percent of large-group math instruction today.

Slide adapted from Chafoules (2011) with permission.
DBR-Disruptive

Disruptive behavior

- A student action that interrupts regular school or classroom activity
- Examples include out of seat, fidgeting, playing with objects, acting aggressively, and talking/yelling about things that are unrelated to classroom instruction.

(Chafouleas, Riley-Tillman, Christ, & Sugai, 2009)

Read slide.
Disruptive Example

Place a mark along the line that best reflects the percentage of total time the student was disruptive during small-group science today.

Interpretation: The teacher estimated that the student displayed *disruptive* behavior during 30 percent of small-group science instruction today.

Slide adapted from Chafouleas (2011) with permission.

Animated slide. Click to bring up Interpretation.
Respectful behavior is defined as compliant and polite behavior in response to adult directions and/or peer interactions.

- *Examples* include following teacher directions, prosocial interactions with peers, positive response to adult requests, and verbal or physical disruption without a negative tone or connotation.
- *Non-examples* include refusing to follow teacher directions, talking back, eye-rolling, inappropriate gestures, inappropriate language and/or social interactions with adults or peers, and disruption with a negative tone/connotation.

(Chafouleas, Riley-Tillman, Christ, & Sugai, 2009)
Respectful Example

Respectful
Place a mark along the line that best reflects the percentage of total time the student was respectful during language arts today.

% of Total Time
0% 1 2 3 4 5 6 7 8 9 10
Never 50% Sometimes 100% Always

Interpretation: The teacher estimated that the student displayed respectful behavior for 80 percent of whole-class language arts today.

Slide adapted from Chafouleas (2011) with permission.

Animated slide. Click to bring up Interpretation.
DBR-SIS Standard Item Takeaways

- All standard item behaviors are clearly defined.
- Examples are provided for what constitutes the behavior.
- All behaviors can be readily measured, and interpretations for responses are clearly stated.

*Read slide.*
Animated slide. Click to bring up table.

Provide or reference Direct Behavior Rating (DBR) Form – Fill-in Behaviors (V 1.3 DBR Standard Form – Fill-in Behaviors).

The standard DBR items are useful for tracking a student on broad indicators of school success. However, the data-based individualization process often will require the tracking of behaviors specific to the particular student. The DBR form also provides an option to fill in your own target behaviors. This will require school personnel to clearly define the target behaviors and align them with specific criteria to increase the consistency of the ratings. Examples of this alignment include considering whether the behavior occurs a lot or little, or the percentage of time during which the behavior occurs.
DBR anchors are based on the preliminary information collected as much as possible. That is, the information collected to identify, prioritize, and define the target behavior can be used to determine whether the behavior is occurring at high, moderate, or low levels during a particular time period.
Using Preliminary Data to Develop DBR Anchors for DBI

Preliminary data indicated that:

- Toby displayed aggression mostly during math periods.
- Aggression encompassed many different behaviors.
- It was estimated to occur between 0 and 12 times in this period of time.

Read slide.
Using Preliminary Data to Develop DBR Anchors for DBI

Based on this information, the DBR anchors might correspond with the scale as follows:

<table>
<thead>
<tr>
<th>Rating</th>
<th>Low</th>
<th>Medium</th>
<th>High</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>1</td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>5</td>
<td>6</td>
<td>7</td>
</tr>
<tr>
<td>8</td>
<td>9</td>
<td>10</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Frequency of behavior</th>
<th>0</th>
<th>1-2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>9</td>
<td>10</td>
<td>10+</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

If your preliminary data included direct observation, you may know the range of frequencies for your target behavior, and you can set the upper range as a rating of 10.
Jeff’s Direct Behavior Rating Form

Direct Behavior Rating (DBR) Form – Fill-in Behaviors

<table>
<thead>
<tr>
<th>Date: M</th>
<th>T</th>
<th>W</th>
<th>Th</th>
<th>F</th>
<th>Rate:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Student:</td>
<td>Activity Description:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Observation Time:
Start:______
End:______

Check if no observation today

Behavior Description:
Threats are verbal statements that refer to harming other people, including peers or teachers. Anchors are 0 = 0 threats per observation, 1 = 1-2 per observation, 2 = 3 per observation, 5 = 6 per observation, 9 = 10 per observation, 10 = >10 per observation.

Directions: Place a mark along the line that best reflects the percentage of total time the student exhibited each target behavior. Note that the percentages do not need to total 100% across behaviors because some behaviors may co-occur. If desired, an additional behavior may be defined and rated.

% of Total Time

(Chafouleas, Riley-Tillman, & Christ, 2010)

National Center on INTENSIVE INTERVENTION
at American Institutes for Research

Review slide.
Case Application (Handout 6)

Take a moment to consider your candidate student and the target behavior(s) and definition(s) you developed. To help you create a DBR form for this student, complete the following sections of Handout 6: Direct Behavior Rating Individualization Form:

1. Behavior definition (already developed)
2. DBR anchors
3. Observation period

Provide or reference Handout 6: Direct Behavior Rating Individualization Form and Direct Behavior Rating (DBR) Form – Fill-in Behaviors.

Review the slide. For the DBR Individualization form, complete sections 1 through 3. You may not yet have enough data to complete section 4. What would you need to do to know the current performance of the student and typical peers in order to fill out this section? We'll talk more about goal setting in a later section.

Give teams a few minutes to talk through the case application. If time allows, share as a large group.

Now look at the DBR fill-in form. The information in Handout 6 would allow you to fill out the top portion of the DBR form.
Implementing DBR

Three steps for increasing the likelihood that the form will be applied consistently:

- Review the definitions and anchors to ensure consistent application.
- Have the form ready to be completed.
- Complete ratings immediately after a prespecified time period.

Slide adapted from Chafouleas (2011) with permission.
Implementing DBR

Ensure that the top portion of the form is completed and includes behavior definitions and rating directions. Include anchors if needed.

Direct Behavior Rating (DBR) Form: 3 Standard Behaviors

Date: [Blank]  
Student: [Blank]  
Activity Description: [Blank]  
Rating: [Blank]  

Observation Time: [Blank]  
Behavior Descriptions:
- Academically engaged is actively or passively participating in the classroom activity. For example: writing, reading, answering a question, talking about a lesson, listening to the teacher, reading silently, or looking at instructional materials.
- Respectful is defined as compliant and polite behavior in response to adult directions and/or peer interactions. For example: following teacher direction, non-social interaction with peers, positive response to adult request, verbal or physical disruption without a negative tone/communication.
- Disruptive is student action that interrupts regular school or classroom activity. For example: out of seat, disturbing, playing with objects, acting aggressively, talking/yelling about things that are unrelated to classroom instruction.

Check if no observation today [Blank]

Slide adapted from Chafouleas (2011) with permission.

The quality of these ratings of student behavior depends on the ability of teachers to remain consistent in their application of the target behavior definition over time. Put another way, the teacher must be careful to apply the same criteria for student behavior from day to day for the ratings to be reliable. Fortunately, there are methods for protecting against this drift of rater accuracy.
Implementing DBR

- Make sure the form is ready to complete immediately after the observation period.
- Possible observation periods include:
  - Reading block
  - Science
  - Independent seat work
  - Social studies
  - Math
  - Circle time
  - Lunch / recess

Slide adapted from Chafouleas (2011) with permission.

Read slide.
Implementing DBR

Immediately following the activity period, complete the ratings, only if:

- You are confident you directly observed the student for a sufficient amount of time.
- You are able to complete the form soon after the end of the activity.

It is better to skip a day’s observation than to provide inaccurate data. If you are using a predated form, you can check a box to indicate an observation did not occur that day.
Now that we know how to collect data, we will talk about how to use it to make decisions.
The data collected from the DBR form will be used to evaluate whether or not the student is responding to the intervention by monitoring progress toward predetermined goals. This process requires that DBR information be managed and organized in a way that supports summary and analysis. Because DBR data are collected on individual students, the most straightforward approach for displaying data is a line graph. This process will be described in the following slides.

The line graph on the right was downloaded from https://encrypted-tbn0.gstatic.com/images?q=tbn:ANd9GcQ8FCkz7GYENg1Sqplc6LBdnwC_RIWBLK1423d9cQc4nSf962NH.
Managing Data for Evaluation

- Graphing data will allow for visual analysis to support evaluation.
- The DBR Graphing Template will automatically create a graph of the DBR data you enter.
- Questions to consider include
  - Who will be responsible for inputting/graphing the data?
  - How often will the data be reviewed?
  - By whom will the data be reviewed?

Provide or reference DBR Graphing Template. If time allows, consider demonstrating the spreadsheet.

The first consideration for evaluation is data management. This includes determining the process of moving the ratings from the DBR form into some tool for graphing. Graphing might be done by hand, online using a tool such as Chart Dog from interventioncentral.com, or using a spreadsheet program such as Microsoft Excel. For the purposes of this presentation, we will assume the graphing platform will be a computer program such as Excel. In fact, we have developed a spreadsheet template to support streamlined graphing.
Management Process for Jeff’s DBR Data

- Mrs. Coleman will complete the DBR form each day.
- Once a week, she will transfer the data to the DBR Graphing Template to automatically generate a graph.
- Mrs. Coleman and one member of the school team will review the data once a week, with full team review after four weeks.

Read slide.
Begin Data Collection *Before* Intervention

- Five or more data points recommended to:
  - Pilot test the tool.
  - Capture current performance level as measured by this tool.
- Revisit tool and anchors if:
  - Data do not seem accurate (inconsistent with other data on the target behavior).
  - Tool seems unlikely to be sensitive to change in the target behavior.

After the process for entering data has been outlined, it is necessary to pilot the tool and establish how the individual is currently performing on the tool before intervention begins. The purpose of this “try-it-out” phase is to make sure the DBR anchors and ratings are reflective of student behavior and to determine a present level of functioning. There are no set rules for how long this phase needs to last, but we need enough information to determine whether the tool is accurately reflecting student behavior. The general recommendation is five or more assessment points. Indications of problems with the tool might be the collection of data that are inconsistent with other data on the same target behavior or seem unlikely to be sensitive to changes in the target behavior. In such cases, it might be necessary to revise the definition or anchors to make sure the instrument is providing accurate assessments of individual performance.
Developing Intervention Goals

- The piloting of the DBR tool will provide information that can be useful for establishing evaluation rules.
  - The school team and teacher must define responsiveness up front to assist with evaluation.
  - Because the process is individualized, it is difficult to give firm rules on what constitutes responsiveness—this will vary based on the target behavior and current levels of performance.
  - Make goals ambitious, but feasible to obtain.

Preintervention data collection allows us to test the accuracy of the DBR tool and also provides a baseline with which to compare student behavior after the intervention has been implemented. The school team can use baseline performance to determine what responsiveness will mean for the student, establishing guidelines for when to retain, remove, or revise the intervention procedures, based on student data. Because the data-based individualization process is unique to each student, there are no firm rules regarding what constitutes responsiveness.
Guidelines for Developing Intervention Goals

- Link intervention goals to DBR anchors.
- Specify an amount of time during which the intervention must be in place before reviewing progress.
- Goals should not be static—they can change and evolve over time depending on student responsiveness.
Example of Evaluation Rules

- Jacob will be deemed non-responsive if his DBR rating for verbal aggression in math class averages more than 5 for a one-month period following introduction of the intervention.
- Jacob will be deemed responsive if his DBR rating for verbal aggression in math class averages less than 5 for a one-month period.
- The school team will review the data at the end of the month to determine whether Jacob was responsive and will decide on next steps.
A graph has two axes. The vertical or $y$-axis and the horizontal or $x$-axis.
Progress Monitoring Graphs

Time usually goes on the horizontal axis. Values for the behavior data go on the vertical axis.
The axes are labeled so anyone can look at our graph and know what we are measuring, over what time period.
First, we graph our preintervention data.
A vertical line, sometimes known as a phase change line, indicates when the intervention begins.
In this way, we can compare behavior before and during the intervention. Do the data in this graph suggest that the student is responding to the intervention?

This student does not seem to be responding—the data are very similar before and after intervention. Future slides will further explain visual analysis and provide opportunities to practice.
Graphed data help school personnel visually determine whether or not the intervention is working. There are a number of features school personnel might consider when looking at a graph to determine student responsiveness. We will focus on three features: level, trend, and variability.
The average or mean value for this set of data is 8.4. Visually, you can see that most of the ratings are 9, with a few 7s.
In addition to direction, we also consider the steepness of the trend—how fast the data are increasing or decreasing.
The variability of a set of data refers to the spread or consistency of the data. The top two graphs show data at very different levels, but both sets of data have a fairly flat trend with little change from one data point to the next. These data have low variability, and we would describe them as “stable.” The bottom two graphs have more inconsistent data, and we would describe the data as “variable.” In the graph on the bottom left, the level of the data decreases significantly after day 4. In the graph on the bottom right, the level of the data does not change much from the first few days of measurement to the last few days, but for days 5 through 10, the data values change greatly from one day to the next. In particular, the rating for day 5 is much higher than the rating for any other day. This outlier makes the data highly variable.
In this graph, we can see that preintervention or baseline data had a slightly lower average level than the data in the intervention phase. However, both sets of data have increasing trends, and much of the data overlaps, so the change between the phases does not seem to be strong. At this point, we cannot be confident that the student is responding to this intervention. The team may decide to collect more data if they have reason to think the intervention may need more time to work, or they may consider changing the intervention.
In this case, there is a very clear change in level after the intervention is introduced. The team would likely decide that the student is responding to this intervention, depending on the goal set for this student.
In this case, the engagement data show a clearly ascending trend during intervention. Again, we would probably suspect this intervention is working. The student should be on track to meet his or her goal.
Basic statistics such as means, or averages, can help us quantify the patterns observed through visual analysis. In this graph, the vertical line represents the start of an intervention. It is easy to see that ratings of disruptive behavior are much lower during the intervention. Means will help us describe this change. Preintervention data has a mean of rating of 9.2. The mean for the postintervention data is 3.7

*Animated slide. Click at underlined text.*
What does this graph tell us about Jeff’s threatening behavior before and after the intensive intervention was introduced?

*Give participants a few moments to think about how to answer this question based on the graphed data. If time allows, discuss as a group.*

During the intervention, Jeff’s threatening behavior dropped in level and showed a decreasing trend.
Did Jeff’s academic engagement change after the intensive intervention was introduced?

*Give participants a few moments to think about how to answer this question based on the graphed data. If time allows, discuss as a group.*

Jeff’s engagement was variable both before and during intervention. We cannot see any clear change in the level or trend of his engagement data.
Did Jeff’s disruptive behavior change after the intensive intervention was introduced?

*Give participants a few moments to think about how to answer this question based on the graphed data. If time allows, discuss as a group.*

Jeff’s disruptive ratings showed a small decrease in level after the intervention was introduced. Taken together, these three graphs suggest that the intervention has helped reduce concerning behaviors such as threats and disruptions, but it has not helped Jeff become more academically engaged.
Review the slide. Give teams a few minutes to talk through the case application. If time allows, share as a large group.
Takeaways

- Developing an approach to behavioral progress monitoring for this group of students requires a lot of hard work.
- Only 3 percent to 5 percent of students in the school should need DBI. If more seem to qualify, consider reviewing and strengthening Tier 1 and Tier 2.
- We need to individualize the assessment process just as we would the intervention process.

Read slide.
Disclaimer

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References


References


